



IMPACT OF BOX-COX TRANSFORMATION TECHNIQUE ON THE BAYESIAN MAXIMUM ENTROPY (BME) PREDICTION ACCURACY

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Abstract

This study investigated whether increasing the normality of an attribute using Box-Cox transformation improves Bayesian Maximum Entropy (BME) prediction accuracy. Furthermore, we examined if BME accuracy is affected by sample size or spatial dependence. For hard data, the unconditional sequential approach was used to simulate symmetric data (skewness = 0) and data positively skewed (skewness: 1, 3, 6, and 9) with sample size ranging from 100 to 500 at the interval length of 50. Soft data was randomly distributed throughout a square of unit size and a width of 1.5. Data was then transformed using Box-Cox transformation. The prediction accuracy was assessed using the Mean Square Error (MSE) and bias, and transformation methods were compared using the Multivariate Analysis of Variance (MANOVA). The results showed BME accuracy is affected by transformation methods but not the sample size and the spatial dependency. However, in comparing the transformed data with the untransformed data, the MSE and bias of the untransformed data ($\lambda = 1$) were closer to zero than the transformed data ($\lambda \neq 1$). As a result, we concluded that BME is robust to skewness, sample size, and spatial dependency.

1. Introduction

Understanding a system for its improvement based on sound proposals calls for statistical analysis. Depending on the statistical methods to be applied, the data can be summarized using descriptive statistics and examined through statistical inference to test the underlying hypotheses. One of the most frequent hypotheses of statistical tests is the normality of the dataset [1]. Normality is generally characterized by skewness and kurtosis, but the commonly used formal normality tests are: Shapiro-Wilk test and

Kolmogorov Smirnov test [2]. Statistical methods (e.g., multiple regression, ANOVA) are often sensitive to non-normality, heteroscedasticity, and sample size. Data transformations are sometimes required to improve the accuracy of statistical methods, and then the results must be back transformed prior to interpretation.

In geostatistics, the classical method known as kriging is highly sensitive to dataset normality [3]. A transformation on raw dataset is performed to improve its normality and therefore guarantees the prediction accuracy [4]. From this perspective, the logarithmic transformation has been used, regardless of the data nature [5-7]. Since the accuracy of kriging based estimations is frequently criticized due to their failure to handle soft data, the Bayesian Maximum Entropy (BME) [8, 9] was proposed to improve geostatistical estimation [10]. BME approach is built upon a strong mathematical procedure [11, 12].

As a result, BME might be robust regardless of data skewness or sample size [13-15]. However, BME framework hinges on the covariance and variogram models [13], while the variogram, which measures the spatial dependency, might be strongly affected by the data skewness and sample size [16]. Thus, it is demonstrated that variogram calculation for highly skewed data results in an underestimation of spatial dependency [17], whereas an accountable variogram calculation in the spatial analysis process requires a minimum sample size of 100 [18]. The degree of skewness influences the measure of entropy; when the data is highly skewed, the measure of entropy is underestimated [7]. In BME analysis, the choice between raw skewed data (untransformed) prior to BME application, plus back-transformation afterwards, and no application of any transformation, regardless of the nature of the data, occurs [5, 19]. In general, whether a statistical test is considered robust to non-normal data or nonparametric, taking dataset normality into account can improve the accuracy of the results [20]. This study focuses on factors that are countable for better estimation under the BME application. Therefore, relying on the quality of the raw dataset, we provided answers to the following research questions: What are

the impacts of using Box-Cox transformation on BME accuracy? Are the effects of managing data normality through Box-Cox transformations influenced by sample size or spatial dependency?

2. Background on the BME

The Bayesian Maximum Entropy (BME) is a robust geostatistical approach designed for spatio-temporal prediction and mapping [8, 9]. BME provides mathematical framework for including different data types into mapping process [12]. The available knowledge is denoted by K , and expressed as:

$$K = G \cup S,$$

where G , the General Knowledge base, represents the general information (e.g., previous experiences, beliefs, etc.). S denotes the site-specific knowledge base. It represents data collected on a natural variable at a specific site. It is divided into two: hard data for exact measurement of the variables and soft data as data with uncertainties [21, 13]. The BME analysis involves three steps, namely: the prior stage, the meta-prior stage and the integration or posterior stage [12, 22, 23]. At the prior stage, only data on general knowledge is collected and processed to build the prior G pdf $f_G(x_{\text{map}})$. At the posterior stage, the prior knowledge pdf $f_G(x_{\text{map}})$ is updated with the site-specific knowledge S following the total knowledge $K = G \cup S$. For a given Sx_{data} , the Bayes conditionalization rule is applied to $f_G(x_{\text{map}})$ to produce the posterior pdf $f_k(x_k)$ as follows:

$$f_k(x_k) = f_G(f_k/(x_{\text{data}})) = \frac{f_G(f_k, (x_{\text{data}}))}{f_G(x_{\text{data}})}. \quad (1)$$

The posterior pdf expression varies with the soft data. Once the posterior pdf is obtained, the conditional mean, mode, median, etc., can be derived. Moreover, the uncertainty can be assessed using the variance of the posterior pdf, or better still, the BME confidence interval [22, 23].

3. Background on Box-Cox Transformation

In the process of the BME application, it is noticed that the Box-Cox transformation with several lambda (λ) values is widely applied to address the issue of data normality [7, 23-26]. Box-Cox transformation [27] is a transformation method that belongs to the power transformation method family [28]. It includes all traditional methods (e.g., square root, log, inverse, cubic root) and easily leads to an optimal normalizing transformation without the need of exploring several transformation techniques to determine the best option [20]. The article [1] suggested that a method of transformation can be selected based on the relationship between the standard deviation and the mean. Box-Cox transformation offers the possibility of simultaneously correcting normality, linearity, and homoscedasticity in a dataset. The method can be expressed as:

$$g(x, \lambda) = \begin{cases} \frac{x^\lambda - 1}{\lambda}, & \text{if } \lambda \neq 0, \\ \log(x), & \text{if } \lambda = 0, \end{cases} \quad (2)$$

where y is the Box-Cox transformation of x , λ is the configuration parameter, and x is the value of any attribute in a given dataset. Thus, it increases the variance of homogeneity to improve the accuracy of prediction [28]. According to Osborne [20], λ values can correct non-normality of a variable regardless of whether it is negatively or positively skewed. Most traditional transformation methods are included in Box-Cox transformation: no transformation ($\lambda = 1$), square root transformation ($\lambda = 0.50$), cubic root transformation ($\lambda = 0.33$), fourth root transformation ($\lambda = 0.25$), natural log transformation ($\lambda = 0$), reciprocal square root transformation ($\lambda = -0.50$), reciprocal (inverse) transformation ($\lambda = -1.00$) and among others. The value of the λ often varies between -5 and 5 . In this study, we considered the following lambda values: $-3, -2, -1, -0.5, 0, 0.5, 1, 2$ and 3 .

4. Methodology

The effect of data transformation on BME was evaluated by testing data with different sizes, skewnesses and levels of spatial dependency. Sample sizes considered were 100, 150, 200, 250, 300, 350, 400, 450 and 500. Symmetric data (skewness = 0) and data positively skewed by 1, 3, 6, and 9 were assessed. Data with strong (0.025), moderate (0.25-0.77), and weak (> 0.75) relative nugget effects (NE) [29], which represents the spatial dependency were used. It is denoted by S and expressed as:

$$S = \frac{c_0}{c_0 + c}, \quad (3)$$

where c is the partial sill, S is the spatial dependency level, and c_0 is the nugget. Each spatial dependency level and the ranges were repeated three times as shown in Table 1.

Table 1. Simulation parameters

Spatial dependency	Repetitions	Nugget	Psill	Range	Model
Strong	1	0.9	0.1	6	Spherical
	2	0.8	0.2	5	Spherical
	3	0.7	0.3	4	Spherical
Moderate	1	0.5	0.5	6	Spherical
	2	0.4	0.6	5	Spherical
	3	0.3	0.7	4	Spherical
Weak	1	0.2	0.8	6	Spherical
	2	0.1	0.9	5	Spherical
	3	0.05	0.95	4	Spherical

4.1. Simulation design

A spatial random field was simulated using a spherical covariance model with a sill $c_0 + c = 1$. It ranges over three spatial dependency levels presented in Table 1 [7, 30]. Two types of data were simulated, namely: hard and soft data. Hard data was simulated using the unconditional sequential simulation method (see simulib from the BMELib library, [13]). For each

spatial dependency level, 50,000 independent realizations of a standard Gaussian variable were generated, using R 4.0.2 software [31]. A constant (range) was added to ensure that, the minimum value was always positive, and each value was then raised to a power (α). This exponentiation allows to generate a highly positively skewed variable. The data were then standardized to zero mean and unit variance [32].

The simulation function was based on the following arguments: Alpha (the exponentiation power to generate highly skewed variables), skewness (the variable with this skewness is returned out of the 50,000 variables simulated), sample size, nugget effect, range, partial sill and variogram model. Interval-type soft data were assumed to be randomly distributed across a square of unit size, with a width of 1.5. In addition, a function was built to generate location parameters from a shapefile of 1ha extracted from the map of Benin Republic (www.diva-gis.org) by specifying the soft data size and the hard data size.

4.2. Data analysis

Firstly, descriptive statistics (means, skewness, kurtosis, maximum and minimum) were used to summarize the raw data simulated. The datasets were transformed using Box-Cox transformation with lambda values equal $-3, -2, -1, -0.5, -0.33, -0.25, 0, 0.25, 0.33, 0.5, 1, 2$ and 3 , respectively, to check how these methods improve the normality of raw data. Secondly, BME method was applied on each transformed data ($\lambda \neq 1$) as well as untransformed data ($\lambda = 1$) and the accuracy of the prediction was assessed by computing the Mean Square Error (MSE) and the bias [7]. The best prediction method is expected to have MSE close to 0 [33]. Thus, transformed variables with MSE greater than 1 were excluded. Thirdly, descriptive statistics (means, skewness, kurtosis, etc) were computed on BME predictions. The average MSE and the bias were calculated for different levels of spatial dependency, degree of skewness and the

transformation methods (lambda). The MSE and bias were plotted graphically using the ggplot2 package of the R 4.0.2 software [31]. Finally, Multivariate Analysis of Variance (MANOVA) was applied to assess the effect of Box-Cox transformation on BME performance. The response variables were MSE and bias and the explanatory variables were lambda, sample size and spatial dependence.

5. Results

5.1. Data transformation method

Table 2 shows that most lambda values do not improve normality in terms of skewness and kurtosis. However, regardless of the spatial dependency level, lambda values (0 to 0.5) improved the normality of highly skewed data (3 to 9).

Table 2. Skewness and kurtosis values according to lambda values of the Box-Cox transformation

Lambda	Skewness = 1		Skewness = 3		Skewness = 6		Skewness = 9	
	Sk	Kurt	Sk	Kurt	Sk	Kurt	Sk	Kurt
S1								
1	1.01	4.03	3.04	19.12	6.10	51.10	9.19	89.26
-3	-6.86	49.10	-9.85	98.01	-5.55	32.01	-9.06	86.33
-2	-6.44	44.16	-9.84	97.93	-5.48	31.26	-8.17	72.23
-1	-4.81	28.21	-9.28	90.32	-4.80	25.91	-6.10	43.85
-0.5	-2.84	12.86	-6.11	49.53	-3.42	15.90	-3.79	21.39
-0.33	-2.15	8.68	-4.26	28.99	-2.74	11.75	-2.76	14.40
-0.25	-1.86	7.13	-3.44	21.11	-2.40	9.95	-2.24	11.73
0	-1.08	4.00	-1.50	7.30	-1.27	5.87	-0.38	8.13
0.25	-0.47	2.75	-0.31	4.05	0.03	5.76	2.30	18.55
0.33	-0.30	2.61	0.01	4.07	0.53	7.05	3.35	26.12
0.5	0.05	2.59	0.67	5.30	1.78	12.78	5.60	46.80
2	2.54	9.45	7.82	70.95	9.54	93.88	9.84	97.89
3	3.36	13.83	9.44	92.46	9.83	97.72	9.85	98.01

S2								
1	1.02	3.66	3.05	16.34	6.10	50.90	8.78	84.01
-3	-9.85	98.01	-9.85	97.96	-9.82	97.68	-8.22	72.89
-2	-9.85	98.01	-9.78	97.09	-9.62	94.79	-7.31	58.39
-1	-9.84	97.89	-8.31	76.96	-7.54	65.73	-5.88	38.82
-0.5	-9.40	91.97	-4.56	31.57	-4.19	25.74	-3.98	21.38
-0.33	-8.30	77.14	-3.09	17.68	-2.98	15.09	-2.97	14.26
-0.25	-7.29	64.01	-2.49	13.03	-2.48	11.47	-2.47	11.29
0	-3.01	18.12	-1.09	5.32	-1.15	5.24	-0.91	5.65
0.25	-0.78	4.33	-0.09	3.81	0.10	4.92	1.04	9.47
0.33	-0.44	3.39	0.21	4.06	0.56	6.14	1.89	14.18
0.5	0.07	2.75	0.87	5.52	1.73	11.69	4.03	31.04
2	2.23	7.61	6.07	42.29	9.56	94.11	9.83	97.81
3	2.97	11.62	7.16	55.82	9.83	97.72	9.85	98.01
S3								
1	1.02	3.72	3.04	18.05	6.09	51.67	8.44	79.04
-3	-8.69	81.26	-9.24	89.88	-9.85	97.97	-7.14	54.14
-2	-7.40	61.63	-8.13	72.78	-9.78	97.09	-6.60	46.78
-1	-5.22	32.72	-5.61	38.15	-8.23	75.85	-4.80	28.17
-0.5	-3.31	15.78	-3.55	17.79	-4.66	31.17	-2.93	13.08
-0.33	-2.55	10.82	-2.75	12.23	-3.32	17.98	-2.23	9.01
-0.25	-2.20	8.88	-2.37	10.05	-2.78	13.54	-1.90	7.49
0	-1.24	4.71	-1.26	5.39	-1.41	5.97	-0.73	4.99
0.25	-0.49	2.94	-0.26	3.86	-0.16	5.07	1.06	9.41
0.33	-0.29	2.71	0.05	4.00	0.31	6.14	1.85	13.72
0.5	0.10	2.57	0.73	5.28	1.51	11.47	3.84	28.77
2	2.35	8.42	7.37	64.44	9.61	94.87	9.72	95.63
3	3.19	12.93	9.21	90.11	9.84	97.84	9.75	96.00

Legend: Sk: skewness; Kurt: kurtosis; S1: strong; S2: Moderate S3: weak

5.2. Effect of Box-Cox transformation on BME accuracy

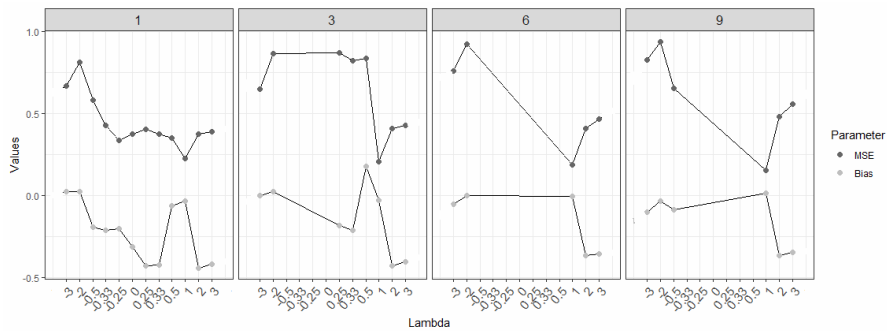
Significant differences were observed in the MSE (p -value < 0.001) and bias (p -value < 0.001). This suggests that Box-Cox transformation with various lambdas induces significant changes on BME accuracy.

Table 3. Results of MANOVA illustrating the effect of data transformation on BME

Response	Sources	DF	<i>F</i> -value	Pr ($> F$)
MSE	L	14	209.041	< 0.001
	Sk	4	105.76	< 0.001
	L:Sk	35	12.10	< 0.001
Bias	L	14	181.54	< 0.001
	Sk	4	0.65	0.6245
	L:Sk	35	4.67	< 0.001

L = Lambda, Sk = skewness

Large variations of the MSE and bias were induced by the transformation methods (Table 3). Data with skewness 1 produced more points below MSE = 0.5 compared to a higher degree of skewness (greater than 3) (Figure 1). This indicates that higher degrees of skewness have a greater impact on BME than lower degrees of skewness. Regardless of the degree of skewness, untransformed data ($\lambda = 1$) produced lower MSE and bias (Figure 1). This demonstrates that despite differences in MSE and bias due to the degree of skewness and data transformation techniques, BME applied to untransformed data is more accurate than BME applied to transformed data. To keep the plot size (Figure 1) reasonable, large values of MSE (greater than 1) were excluded.

**Figure 1.** Variations of MSE and bias as impact of data transformation on the BME.

5.3. Effect of sample size and Box-Cox transformation technique on BME accuracy

Data transformation methods significantly affected both MSE and bias ($p < 0.001$). The sample size, however, did not significantly affect either the MSE (p -value = 0.1657) or the bias (p -value = 0.1793) of the prediction. Additionally, the interaction between sample size and transformation techniques showed no significant effect on either MSE (p -value = 0.9145) or bias (p -value = 0.981). These results suggest that BME is robust to changes in sample size.

Table 4. Results of the MANOVA illustrating the effect of sample size and data transformation on BME

Response	Source	Df	<i>F</i> -value	Pr ($> F$)
MSE	L	14	158.2379	< 0.001
	Ss	7	1.4909	0.1657
	L:Ss	96	0.8064	0.9145
Bias	L	14	169.9825	< 0.001
	Ss	7	1.4539	0.1793
	L:Ss	96	0.4966	1.0000

Ss: Sample size, L: Lambda

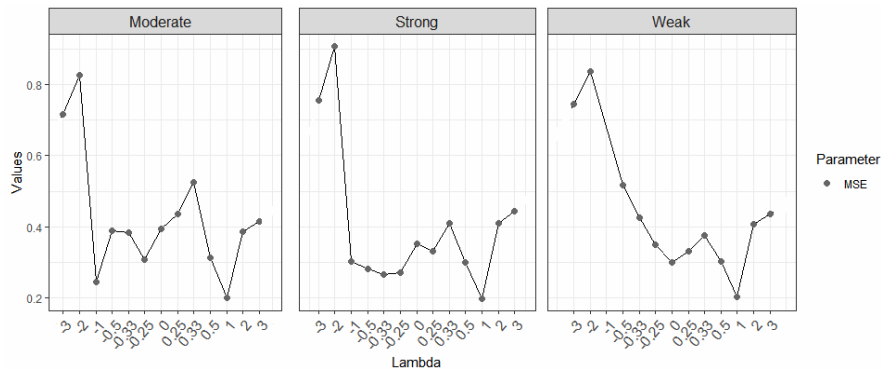
5.4. Effect of spatial dependency and data transformation techniques on BME accuracy

The MSE of transformation technique is not affected by the spatial dependency (p -value = 0.9454) but highly affected by the interaction between transformation technique and spatial dependence (p -value ≤ 0.001). The prediction bias is affected by the spatial dependency level with no interaction between the transformation techniques and spatial dependence.

Table 5. Results of the MANOVA illustrating the effect of spatial dependence data

Response	Source	Df	F-value	Pr ($> F$)
MSE	L	14	162.0512	< 0.001
	SD	2	0.0561	0.9454
	L:SD	27	2.7955	< 0.001
Bias	L	14	173.1664	< 0.001
	SD	2	3.3335	0.035
	L:SD	27	0.9115	0.5961

Figures 2 and 3 depict the differences in MSE and bias due to spatial dependence. It was observed that the variation of spatial dependence induced changes in the MSE and bias of BME prediction. In the MSE, for moderate and strong spatial dependence, most values were below 0.4. Despite this variation, the best lambda value was 1 (no transformation) irrespective of the spatial dependence.

**Figure 2.** Variation of the prediction's MSE according to sample size and data transformation technique.

For relative bias variation by spatial dependence, the best lambda values are -2 and 1 (Figure 3). Despite the significance difference observed in Table 5, there was a lower variation in bias (-0.22 to -0.25) for spatial dependence, with moderate spatial dependence producing more accurate results.

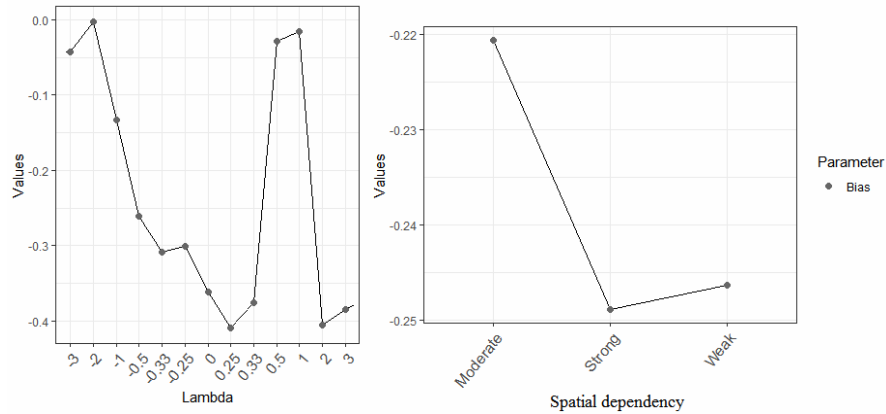


Figure 3. Variation of MSE according to the degree of spatial dependency.

6. Discussion and Conclusion

Bayesian Maximum Entropy (BME) is mostly applied to continuous variables [34-36] and discrete variables [37, 38]. In essence, a transformation is applied when data is skewed [7, 23-25]. Data transformation, as part of the pre-processing phase, plays an important role in ensuring data quality prior to data analysis [39]. In this study, an empirical assessment of the effect of data transformation techniques derived from Box-Cox family was carried out. After applying Box-Cox transformation with different lambda values to skewed data, we noticed that not all Box-Cox transformation methods do correct normality, this includes lambda values such as -3 , -0.5 , -0.33 , -0.25 , 0 , 1 , 2 and 3 . However, lambda values such as 0.25 , 0.33 and 0.5 improved the normality irrespective of the spatial dependency level. This finding was consistent with the previous works of [40] on *trialeurodes vaporariorum* populations in which data was transformed.

Firstly, to determine if improving the normality of a dataset can improve the prediction accuracy of BME, our results showed that the interaction between skewness and transformation techniques significantly affected both MSE and bias ($p < 0.001$ for both). This implies that BME accuracy varies with skewness and transformation techniques. However, when data transformation methods were compared by the degree of skewness, the

untransformed data ($\lambda = 1$) gave lower MSE regardless of the degree of skewness. This suggests that data transformation can improve data normality but not BME prediction accuracy. As a result, BME can be considered robust to skewness, the transformation might not be necessary when the data is skewed. These results align with Christakos's discussions on BME robustness [8, 13].

Secondly, we investigated if the accuracy of data transformation techniques applied to BME was affected by sample size. We found that the sample size has no significant effect on the MSE and bias of prediction with p -values of 0.166 and 0.179, respectively. Furthermore, on the MSE (p -value = 0.915) and bias (p -value = 0.981), the sample size showed no interaction with data transformation techniques. This finding suggested that the choice of sample size and data transformation had no effect on BME performance.

Finally, we evaluated if the accuracy of data transformation techniques applied to BME is influenced by the spatial dependency of the dataset. Our findings revealed that Box-Cox transformation and spatial dependence had a significant impact on the MSE (p -value 0.001) but not the bias of the prediction (p -value = 0.596). However, when we compared the performance of transformed data to untransformed data, we discovered that BME applied to untransformed variables ($\lambda = 1$) generated better results than transformed variables regardless of the spatial dependency.

In conclusion, our study revealed that Box-Cox transformation can enhance the dataset normality, which is consistent with prior research [7, 23-25]. However, we found no indication that (1) enhancing normality improves BME prediction accuracy, (2) a given sample size improves BME prediction accuracy after transformation, or (3) any spatial dependence level is more accurate. As a result, BME can be considered robust to sample size and spatial dependency even when the data is skewed.

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